

DPW

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
114104-10

In Re Application Of: **Anthony J. Baerlocher et al.**

Serial No.
10/659,092

Filing Date
September 9, 2003

Examiner
Unknown

Group Art Unit
3713

Title: **GAMING DEVICE HAVING MULTIPLE AWARD REELS AND MOVING INDICATOR**



Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

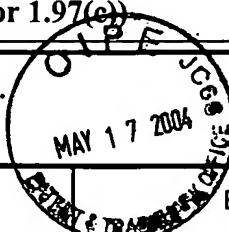
OR

the fee set forth in 37 CFR 1.17(p).

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GAMING DEVICE HAVING MULTIPLE AWARD REELS AND MOVING INDICATOR

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of _____ is attached.

The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.

Charge the amount of _____

Credit any overpayment.

Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

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Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on May 13, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Adam H. Masia
Signature

Adam H. Masia (Reg. No. 35,602)

P.O. Box 1135

Chicago, IL 60690-1135

Phone: 312-807-4284

Dated: May 13, 2004

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Anthony J. Baerlocher et al.
Appl. No.: 10/659,092
Conf. No.: 4316
Filed: September 9, 2003
Title: GAMING DEVICE HAVING MULTIPLE AWARD REELS AND MOVING INDICATOR
Art Unit: 3713
Examiner: Unknown
Docket No.: 114104-010

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
Des. 400,597	November 3, 1998	Hedrick et al.
Des. 402,702	December 15 1998	Seelig et al.
Des. 406,865	March 16, 1999	Heidel
Des. 441,031 S	April 24, 2001	Seelig et al.
Des. 443,313 S	June 5, 2001	Brettschneider
Des. 465,531 S	November 12, 2002	Luciano, Jr. et al.
2,545,644	March 20, 1951	Benton et al.
4,182,515	January 8, 1988	Nemeth
4,410,178	October 18, 1983	Partridge
4,624,459	November 25, 1986	Kaufman
4,695,053	September 22, 1987	Vazquez, Jr. et al.

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
4,732,386	March 22, 1988	Rayfiel
4,991,848	February 12, 1991	Greenwood et al.
5,116,055	May 26, 1992	Tracy
5,152,529	October 6, 1992	Okada
5,364,100	November 15, 1994	Ludlow et al.
5,395,111	March 7, 1995	Inoue
5,449,173	September 12, 1995	Thomas et al.
5,456,465	October 10, 1995	Durham
5,542,669	August 6, 1996	Charron et al.
5,560,603	October 1, 1996	Seelig et al.
5,584,763	December 17, 1996	Kelly et al.
5,584,764	December 17, 1996	Inoue
5,609,524	March 11, 1997	Inoue
5,664,998	September 9, 1997	Seelig et al.
5,711,525	January 27, 1998	Breeding
5,752,881	May 19, 1998	Inoue
5,823,872	October 20, 1998	Prather et al.
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5,848,932	December 15, 1998	Adams
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5,873,781	February 23, 1999	Keane
5,882,261	March 16, 1999	Adams
5,911,418	June 15, 1999	Adams et al.
5,927,714	July 27, 1999	Kaplan
5,938,529	August 19, 1999	Rodesch, et al.
5,976,015	November 2, 1999	Seelig et al.
6,004,207	December 21, 1999	Wilson, Jr. et al.
6,015,346	January 18, 2000	Bennett
6,059,658	May 9, 2000	Mangano et al.
6,086,066	July 11, 2000	Takeuchi et al.

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
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6,102,798	August 15, 2000	Bennett
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6,227,970 B1	May 8, 2001	Shimizu et al.
6,251,013 B1	June 26, 2001	Bennett
6,254,481 B1	July 3, 2001	Jaffe
6,261,177 B1	July 17, 2001	Bennett
6,267,669 B1	July 31, 2001	Luciano Jr. et al.
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<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
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6,398,220 B1	June 4, 2002	Inoue
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6,537,152 B2	March 25, 2003	Seelig et al.
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4DU Dice Unit advertisement written by starpoint.uk.com, printed on September 3, 2002.

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A Salute to Game Shows-The Price is Right-Pricing Games, taken from <http://benschumin.simplenet.com/game-shows/shows/price-is-right/pricing-games-4.htm>, printed on August 16, 2000.

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Big Top Keno advertisement published by Aristocrat Technologies, Inc., published in 2000.

Bonus Roulette brochure written by R. Franco, published prior to September 9, 2003.

Buck's Roulette brochure written by R. Franco, published prior to September 9, 2003.

Chariot's of Fortune brochure written by R. Franco, published prior to September 9, 2003.

Classic Pot of Gold brochure written by Ace Coin Equipment Ltd., published prior to September 9, 2003.

Clock Game description, taken from URL: http://gscentral.net/clock.htm, printed on August 18, 2000.

Cyberdyne Gaming brochure written by Cyberdyne Gaming, published prior to September 9, 2003.

Elvira® Mistress of the Dark™ advertisement written by IGT, published in 2002.

Elvis Hits advertisement written by IGT, published in 1999.

Jack and the Beanstalk™ brochure written by AC Coin & Slot, published prior to September 9, 2003.

Jackpot Party brochures and articles, published by WMS Gaming Inc., 1998.

Jackpot Party, taken from www.wmsgaming.com, printed on February 7, 2001.

King of the Grill™ brochure written by AC Coin & Slot, published prior to September 9, 2003.

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Payout!™ article written by Casino Data Systems, published prior to September 9, 2003.

Pick a Prize brochure written by Acres Gaming Incorporated, published prior 2001.

Power Slotto brochure published by AC Coin & Slot prior to 2002.

Press Your Luck brochure published by AC Coin & Slot prior to 2002.

Quick Pick Paytime brochure written by Acres Gaming Incorporated, published prior to 2001.

R&B™ brochure published by AC Coin & Slot, published prior to September 9, 2003.

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Royal Roulette brochure written by Impulse Gaming Ltd., published prior to September 9, 2003.

Schwartz, David, Ryan, Steve, and Wostbrock, Fred. The Encyclopedia of TV Game Shows. Third Ed. Checkmark Books, New York: 1999 pages 176-180.

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Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

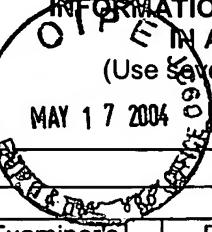
BELL, BOYD & LLOYD LLC

BY



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Chicago, Illinois 60690-1135
Phone: (312) 807-4284

Dated: May 13, 2004

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) MAY 17 2004  PTO Form 1449		Atty Docket No. 114104-010	Application No. 10/659,092
		Applicants Anthony J. Baerlocher et al.	
		Filing Date September 9, 2003	Group 3713

U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	Des. 400,597	November 3, 1998	Hedrick et al.			
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	4,410,178	October 18, 1983	Partridge			
	4,624,459	November 25, 1986	Kaufman			
	4,695,053	September 22, 1987	Vazquez, Jr. et al.			
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	5,152,529	October 6, 1992	Okada			
	5,364,100	November 15, 1994	Ludlow et al.			
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	5,542,669	August 6, 1996	Charron et al.			
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	5,664,998	September 9, 1997	Seelig et al.			
	5,711,525	January 27, 1998	Breeding			
	5,752,881	May 19, 1998	Inoue			
	5,823,872	October 20, 1998	Prather et al.			

Examiner:	Date Considered:
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*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	5,823,874	October 20, 1998	Adams et al.			
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	5,976,015	November 2, 1999	Seelig et al.			
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	6,015,346	January 18, 2000	Bennett			
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	6,203,429 B1	March 20, 2001	Demar et al.			
	6,213,876 B1	April 10, 2001	Moore Jr.			
	6,220,593 B1	April 24, 2001	Pierce et al.			
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Examiner's	Document	Publication			Translation

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	Miss America advertisement written by AC Coin & Slot, published prior to September 9, 2003.
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	Schwartz, David, Ryan, Steve, and Wostbrock, Fred. The Encyclopedia of TV Game Shows. Third Ed. Checkmark Books, New York: 1999 pages 176-180.
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		Filing Date September 9, 2003	Group 3713

Examiner's Initials	OTHER DOCUMENTS (<i>Including Author, Title, Date, Pertinent Pages, Etc.</i>)
	The Price is Right advertisement written by IGT, published in 2001.
	The Price is Right article, taken from www.curtailliaume.com/tpir.html , published on July 18, 2002. .
	The Price is Right Plinko advertisement written Strictly Slots, published in December 2001.
	The Price is Right Video Slots advertisement written by IGT, published in 2001.
	The Price is Right Video Slots advertisement written by IGT, published in 2001.
	Welcome To Video Reality advertisement written Atronic Casino Technology Ltd., published in 1995.
	Yahtzee Bonus advertisement written by Mikohn, published in 1999.

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	